

RECEIVED

JUL 3 1 2001



Form PTO-1449 (Rev. 6-98)

Technology Center 2600

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.

Serial No.

10251-037

09/848,931

Applicant

Robert J. FEILBOGEN

Filing Date

May 4, 2001

Group Art Unit

2661

INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
nr	5	8	8	4	2	8	5	03/16/1999	Atkins		
nr	6	1	6	7	3	8	6	12/26/2000	Brown		
nr	6	0	1	6	4	8	3	01/18/2000	Rickard		
nr	5	9	8	7	4	3	2	11/16/1999	Zusman et al.		

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
nr	WO 00/77709	21.12.2000	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

nr	International Search Report – three pages.

Examiner *Ngan Nguyen*Date Considered *12/1/05*

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.



Form PTO-1449 (Rev. 6-98) Department of Commerce Patent and Trademark Office	Attorney Docket No. <b>10251-037</b>	Serial No. <b>09/848,931</b>
	Applicant <b>Robert FEILBOGEN, et al.</b>	
	Filing Date <b>05/04/01</b>	Group Art Unit <b>2163</b>

**INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class

**RECEIVED**  
MAR 06 2002

Technology Center 2100

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>mm</i>	Documents from Integral web site including press release entitled "Integral Autodealer Lets Banks Respond Immediately to Quote Request in Online Capital Markets Dealing," April 10, 2001, and description of "Integral Autodealer" and "Integral Connect," from <a href="http://www.integral.com">www.integral.com</a> , 10 pages.
<i>mm</i>	"Cognotec and Financial Software Systems Announce Collaboration Agreement for FX Margin Trading," Cognotec press release, April 5, 2001, 2 pages.
<i>mm</i>	"Cognotec set to become 'connectivity enabler of choice' for forex banks with launch of FXall CONNECT," Cognotec press release, February 16, 2001, 3 pages
<i>mm</i>	"Cognotec announces collaboration agreement with Atrix," Cognotec press release, March 19, 2001, 2 pages.
<i>mm</i>	"EBS Spot Dealing System," from <a href="http://www.ebsp.com">www.ebsp.com</a> , 1 page.
<i>mm</i>	"CFOWeb.com," Integral Development Corp., from <a href="http://www.cfoweb.com">www.cfoweb.com</a> , 1 page.
<i>mm</i>	"Fxall," FX Alliance, LLC, 2001, from <a href="http://www.fxall.com">www.fxall.com</a> , 1 page.
<i>mm</i>	"Atrix Global Briefings," from <a href="http://www.atrrix.com">www.atrrix.com</a> , 1 page.
<i>mm</i>	"Currenex Global Financial Exchange" Currenex, Inc., 2001, from <a href="http://www.currenex.com">www.currenex.com</a> , 2 pages.
<i>mm</i>	Documents from <a href="http://www.finxml.org">www.finxml.org</a> , including "FinXML™ The Digital Language for Capital Markets" press release, July 7, 1999, and "Information," 6 pages.
<i>mm</i>	"FpML™: A Standard for Financial Derivatives Business-to-Business e-Commerce," from <a href="http://www.FpML.org">www.FpML.org</a> , 2 pages.
<i>mm</i>	"The Financial Information eXchange (FIX) Protocol," from <a href="http://www.fixprotocol.org">www.fixprotocol.org</a> , 6 pages.
<i>mm</i>	"Financial Information Exchange Protocol (FIX)," Version 4.2, March 1, 2000, pages i-iv, 1-267 and Release Notes pages 1-9

Examiner <i>Nga Nguyen</i>	Date Considered <i>12/1/05</i>
-------------------------------	-----------------------------------

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.



COPY OF PAPERS  
ORIGINALLY FILED

Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>10251-037</b>	Serial No. <b>09/848,931</b>		
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		Applicant <b>Robert FEILBOGEN, et al.</b>			
		Filing Date <b>05/04/01</b>	Group Art Unit <b>2163</b>		
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initial	Document Number	Date	Name	Class	Sub Class
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>mm</i>	"The Bank of New York, World's Largest Custodian Bank, Joins FXall™. AVT Technologies, a Key Partner, Will Provide The Core Trade-Routing Mechanism." AVT Technologies press release, October 13, 2000, 3 pages.				
<i>mm</i>	"Centradia Name Chosen For First Multi-Bank, Multi-Product On-Line Financial Marketplace." AVT Technologies press release, June 18, 2001, 1 pages.				
<i>mm</i>	"Currenex Partners With AVT Technologies To Streamline FX Dealing." AVT Technologies press release, October 26, 2001, 2 pages				
<i>mm</i>	"Atriaux and AVT Technologies Have Integrated AVT's EchoFX Trading Engine and the Atriaux Dealing System." AVT Technologies press release, October 31 2001, 1 pages.				
<i>mm</i>	Informational Materials re AVT Technologies from www.avt.co.uk – 17 pages.				
Examiner <i>Nga Nguyen</i>	Date Considered <i>12/1/05</i>				
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.					

RECEIVED

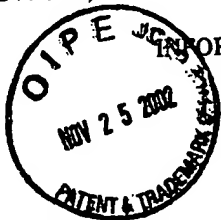
APR 04 2002

Technology Center 2100

Serial No.

10251-037

09/848



**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

**Applicant**

**Robert J. FEILBOGEN, et al.**

Filing Date

May 4, 2001

**Group Art Unit**

2661

## U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NXO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

RECEIVED  
NOV 27 2002  
GROUP 3600

**EXAMINER**

Ngan Nguyen

**DATE CONSIDERED**

12/1/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to